EXPORT CONTROL REVIEW CHECKLIST FOR NEGOTIATORS

Sponsor: __________________________

*This form should be completed and kept in file even if all of the answers are “No.”*

A. Does the statement of work, FAR clause(s), or ANY language in the agreement:

1. Give the sponsor the right to approve/disapprove publication (excluding reasonable reviews for possible patents and/or sponsor proprietary information)? □ Yes □ No
2. Reference U.S. export regulations or security restrictions (beyond a mere statement to comply with the law)? □ Yes □ No
3. Prohibit the involvement of non-U.S. persons or persons from certain countries or requires prior approval from the sponsor? □ Yes □ No
4. Require us to identify foreign nationals including their citizenship? □ Yes □ No
5. Prohibit access to project materials/data/information by non-U.S. citizens? □ Yes □ No
6. Allow the Sponsor to claim resulting information as proprietary or trade secret? □ Yes □ No
7. Restrict the dissemination of research results? □ Yes □ No
8. Indicate the project has an obvious military nature?
   * If yes to any of above, the fundamental research exclusion may be lost and an export control issue may exist. Finalize export control review with Export Controls Officer (ECO). Write export control review comments in the box below and/or attach any pertinent emails to this checklist.
   □ Yes □ No

B. Does the project involve travel outside the U.S.?

1. If yes, is travel to Cuba, Iran, Syria, North Korea, or Sudan?
   * If yes to B.1, contact ECO – a license may be required.
   □ Yes □ No
   □ N/A

C. Does the P.I. plan to ship or take project equipment/technology outside the U.S.?
   * If yes, contact ECO – a license may be required.
   □ Yes □ No
   □ Unknown

D. If this award includes a SUBCONTRACT, please answer the following questions:

1. Did you screen the subcontract PI, named participants, & entity using Visual Compliance?
   a. If yes, did you receive any negative results on the subcontract PI/entity/participants?
      * If yes to D.1.a, please contact ECO.
   □ Yes □ No
   □ N/A

2. Does the prime award have any export control and/or foreign national restrictions that must be flowed down?
   □ Yes □ No

3. If the project has been determined to be export controlled, will any export controlled technologies or information be given to the subcontractor?
   a. If yes, is the person receiving the technologies/info a U.S. citizen?
      * If no (not a U.S. Citizen), contact ECO – a license may be required.
   □ Yes □ No
   □ N/A
4. Will any funds be given to an entity in Cuba, Iran, North Korea, Sudan, or Syria?  □ Yes  □ No

* If yes, contact ECO – payments to these countries may require a license or may be prohibited.

Please note comments below and/or attach any email correspondence to this form:

Negotiator: __________________________  Date: __________________________  OSP No.: __________________________

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**Use this form for:** (1) New awards, (2) Amendments with change in SOW or new task order, (3) Teaming agreements & MOU’s with foreign entity, & (4) Actions on awards without existing Checklist.

**Form not needed for:** (1) Actions on awards with existing Checklist, & (2) VSA’s / MTA’s / NDA’s – however, an export control review is still required for these 3 agreements!

ECO (Export Control Officer)

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The University of North Carolina at Charlotte greatly appreciates all the assistance that The University of Texas at Austin has supplied.